An inequality of Kostka numbers and Galois groups of Schubert problems

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Abstract. We show that the Galois group of any Schubert problem involving lines in projective space contains the alternating group. Using a criterion of Vakil and a special position argument due to Schubert, this follows from a particular inequality among Kostka numbers of two-rowed tableaux. In most cases, an easy combinatorial injection proves the inequality. For the remaining cases, we use that these Kostka numbers appear in tensor product decompositions of $\mathfrak{sl}_2\mathbb{C}$ -modules. Interpreting the tensor product as the action of certain commuting Toeplitz matrices and using a spectral analysis and Fourier series rewrites the inequality as the positivity of an integral. We establish the inequality by estimating this integral.

Résumé. On montre que le groupe de Galois de tout problème de Schubert concernant des droites dans l'espace projective contient le groupe alterné. On utilisant un critère de Vakil et l'argument de position spéciale due à Schubert, ce résultat se déduit d'une inégalité particulière des nombres de Kostka des tableaux ayant deux rangées. Dans la plus part des cas, une injection combinatoriale facile montre l'inégalité. Pour les cas restant, on utilise le fait que ces nombres de Kostka apparaissent dans la décomposition en produit tensoriel des $\mathfrak{sl}_2\mathbb{C}$ -modules. En interprétant le produit tensoriel comme l'action de certaines matrices de Toeplitz commutantent entre elles, et en utilisant de l'analyse spectrale et les séries de Fourier, on reécrit l'inégalité comme la positivitée d'une intègrale. L'inégalité sera établie en estimant cette intègrale.

Keywords: Kostka numbers, Galois groups, Schubert calculus, Schubert varieties

Introduction

The Schubert calculus of enumerative geometry [KL72] is a method to compute the number of solutions to *Schubert problems*, a class of geometric problems involving linear subspaces. One can reduce the enumeration to combinatorics; for example, the number of solutions to a Schubert problem involving lines is a Kostka number for a rectangular partition with two parts.

A prototypical Schubert problem is the classical problem of four lines, which asks for the number of lines in space that meet four given lines. To answer this, note that three general lines ℓ_1, ℓ_2 , and ℓ_3 lie on a unique doubly-ruled hyperboloid, shown in Figure 1. These three lines lie in one ruling, while the

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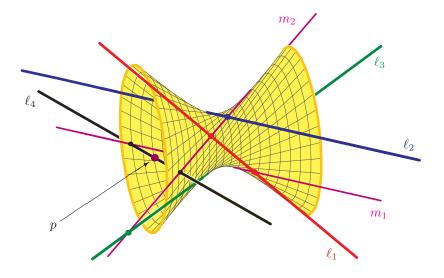


Fig. 1: The two lines meeting four lines in space.

second ruling consists of the lines meeting the given three lines. The fourth line ℓ_4 meets the hyperboloid in two points. Through each of these points there is a line in the second ruling, and these are the two lines m_1 and m_2 meeting our four given lines. In terms of Kostka numbers, the problem of four lines reduces to counting the number of tableaux of shape $\lambda = (2, 2)$ with content (1, 1, 1, 1). There are two such tableaux:

1	2	1	3
3	4	2	4

Galois groups of enumerative problems are subtle invariants about which very little is known. While they were introduced by Jordan in 1870 [Jor70], the modern theory began with Harris in 1979, who showed that the algebraic Galois group is equal to a geometric monodromy group [Har79]. In general, we expect the Galois group of an enumerative problem to be the full symmetric group and when it is not, the geometric problem possesses some intrinsic structure. Harris' result gives one approach to studying the Galois group—by directly computing monodromy. For instance, the Galois group of the problem of four lines is the group of permutations which are obtained by following the solutions over loops in the space of lines $\ell_1, \ell_2, \ell_3, \ell_4$. Rotating ℓ_4 180 degrees about the point p (shown in Figure 1) gives a loop which interchanges the two solution lines m_1 and m_2 , showing that the Galois group is the full symmetric group on two letters.

Leykin and Sottile [LS09] used numerical homotopy continuation [SW05] to compute monodromy for many *simple* Schubert problems, showing that in each case the Galois group was the full symmetric group. (The problem of four lines is simple.) Billey and Vakil [BV08] gave an algebraic approach based on elimination theory to compute lower bounds for Galois groups. Vakil [Vak06b] gave a combinatorial criterion, based on group theory, which can be used to show that a Galois group contains the alternating group. He used this and his geometric Littlewood-Richardson rule [Vak06a] to show that the Galois group

was at least alternating for every Schubert problem involving lines in projective space \mathbb{P}^n for $n \leq 16$. Brooks implemented Vakil's criterion and the geometric Littlewood-Richardson rule in python and used it to show that for $n \leq 40$, every Schubert problem involving lines in projective space \mathbb{P}^n has at least alternating Galois group. Our main result is the following.

Theorem 1 The Galois group of any Schubert problem involving lines in \mathbb{P}^n contains the alternating group.

We prove this theorem by applying Vakil's criterion to a special position argument of Schubert, which reduces Theorem 1 to proving a certain inequality among Kostka numbers of two-rowed tableaux. For most problems, the inequality follows from a combinatorial injection of Young tableaux. For the remaining problems, we work in the representation ring of $\mathfrak{sl}_2\mathbb{C}$, where these Kostka numbers also occur. We interpret the tensor product of irreducible $\mathfrak{sl}_2\mathbb{C}$ -modules in terms of commuting Toeplitz matrices. Using the eigenvector decomposition of the Toeplitz matrices, we express these Kostka numbers as certain trigonometric integrals. In this way, the inequalities of Kostka numbers become inequalities of integrals, which we establish by estimation.

Note that the generalization of Theorem 1 to arbitrary Grassmannians is false. Derksen found Schubert problems in the Grassmannian of 3-planes in \mathbb{P}^7 whose Galois groups are significantly smaller than the full symmetric group, and Vakil generalized this to problems in the Grassmannians of 2k-1 planes in \mathbb{P}^{2n-1} whose Galois groups are not the full symmetric group for every $k \ge 2$ and $n \ge 2k$ [Vak06b, §3.13].

1 Preliminaries

1.1 Schubert problems of lines

Let $\mathbb{G}(1,n)$ be the Grassmannian of lines in *n*-dimensional projective space \mathbb{P}^n , which is an algebraic manifold of dimension 2n-2. A (special) *Schubert subvariety* is the set of lines X_L that meet a linear subspace $L \subset \mathbb{P}^n$; that is,

$$X_L := \{\ell \in \mathbb{G}(1,n) \mid \ell \cap L \neq \emptyset\}.$$
(1.1)

If dim L = n-1-a, then X_L has codimension a in $\mathbb{G}(1, n)$. A Schubert problem asks for the lines that meet fixed linear subspaces L_1, \ldots, L_m in general position, where dim $L_i = n-1-a_i$ for $i = 1, \ldots, m$ and $a_1 + \cdots + a_m = 2n-2$. These are the points in the intersection

$$X_{L_1} \cap X_{L_2} \cap \dots \cap X_{L_m}. \tag{1.2}$$

As the L_i are in general position, the intersection (1.2) is transverse and therefore zero-dimensional. (Over fields of characteristic zero, transversality follows from Kleiman's Transversality Theorem [Kle74] while in positive characteristic, it is Theorem E in [Sot97].) We define the *Schubert intersection* number $K(a_1, \ldots, a_m)$ to be the number of points in the intersection (1.2), which does not depend upon the choice of general L_1, \ldots, L_m . We call $a_{\bullet} := (a_1, \ldots, a_m)$ the type of the Schubert problem (1.2).

Note that given positive positive integers $a_{\bullet} = (a_1, \ldots, a_m)$ whose sum is even, $K(a_{\bullet})$ is a Schubert intersection number in $\mathbb{G}(1, n(a_{\bullet}))$, where $n(a_{\bullet}) := \frac{1}{2}(a_1 + \cdots + a_m + 2)$. Henceforth, a Schubert problem will be a list a_{\bullet} of positive integers with even sum. It is valid if $a_i \leq n(a_{\bullet}) - 1$ (this is forced by dim $L_i \geq 0$).

The intersection number $K(a_{\bullet})$ is a Kostka number, which is the number of Young tableaux of shape $(n(a_{\bullet})-1, n(a_{\bullet})-1)$ and content (a_1, \ldots, a_m) [Ful97, p.25]. Let $\mathcal{K}(a_{\bullet})$ be the set of such tableaux. These are two-rowed arrays of integers, each row of length $n(a_{\bullet})-1$, such that the integers increase weakly across each row and strictly down each column, and there are a_i occurrences of i for each $i = 1, \ldots, m$. For example, here are the five Young tableaux in $\mathcal{K}(2, 2, 1, 2, 3)$, demonstrating that K(2, 2, 1, 2, 3) = 5.

1.2 Vakil's Criterion for Galois groups of Schubert problems

In §3.4 of [Vak06b], Vakil explains how to associate a Galois group to a dominant map $W \to X$ of equidimensional irreducible varieties and establishes his criterion for the Galois group to contain the alternating group. We discuss this for a Schubert problem $a_{\bullet} = (a_1, \ldots, a_m)$. Define

$$X := \{(L_1, \ldots, L_m) \mid L_i \subset \mathbb{P}^n \text{ is a linear space of dimension } n-1-a_i\},\$$

where $n := n(a_{\bullet})$. Consider the incidence variety,

$$W := \{(\ell, L_1, \dots, L_m) \mid (L_1, \dots, L_m) \in X \text{ and } \ell \cap L_i \neq \emptyset, i = 1, \dots, m\}.$$

The projection map $W \to \mathbb{G}(1, n)$ realizes W as a fiber bundle over $\mathbb{G}(1, n)$ with irreducible fibers. As $\mathbb{G}(1, n)$ is irreducible, W is irreducible.

Let $\pi: W \to X$ be the other projection; its fiber over a point $(L_1, \ldots, L_m) \in X$ is

$$\pi^{-1}(L_1, L_2, \dots, L_m) = X_{L_1} \cap X_{L_2} \cap \dots \cap X_{L_m}.$$
(1.4)

Thus the map $\pi: W \to X$ contains all Schubert problems of type a_{\bullet} . As the general Schubert problem is a transverse intersection containing $K(a_{\bullet})$ points, π is a dominant map of degree $K(a_{\bullet})$. Under π , the field $\mathbb{K}(X)$ of rational functions on X pulls back to a subfield of $\mathbb{K}(W)$, the field of rational functions on W, and the extension $\mathbb{K}(W)/\mathbb{K}(X)$ has degree $K(a_{\bullet})$.

Definition 2 The Galois group of the Schubert problem of type a_{\bullet} , $G(a_{\bullet})$, is the Galois group of the Galois closure of the field extension $\mathbb{K}(W)/\mathbb{K}(X)$.

This Galois group $G(a_{\bullet})$ is a subgroup of the symmetric group $\mathcal{S}_{K(a_{\bullet})}$ on $K(a_{\bullet})$ letters. We say that $G(a_{\bullet})$ is at *least alternating* if it contains the alternating group $\mathcal{A}_{K(a_{\bullet})}$. Vakil's Criterion is adapted to classical special position arguments in enumerative geometry. First, if $Z \subset X$ is a subvariety such that $Y = \pi^{-1}(Z) \subset W$ is irreducible and the map $Y \to Z$ has degree $K(a_{\bullet})$, then $Y \to Z$ has a Galois group which is a subgroup of $G(a_{\bullet})$. This enables us to restrict the original Schubert problem to one derived from it through certain standard reductions.

More interesting is when $Z \subset X$ is a subvariety such that $Y = \pi^{-1}(Z)$ decomposes into two smaller problems, $Y = Y_1 \cup Y_2$, where $Y_i \to Z$ is a Schubert problem of type $a_{\bullet}^{(i)}$ for i = 1, 2. In this situation, monodromy of $Y \to Z$ gives a subgroup H of the product $G(a_{\bullet}^{(1)}) \times G(a_{\bullet}^{(2)})$ which projects onto each factor and includes into $G(a_{\bullet})$. Then purely group-theoretic arguments imply the following.

Vakil's Criterion. If $G(a_{\bullet}^{(1)})$ and $G(a_{\bullet}^{(2)})$ are at least alternating, and either $K(a_{\bullet}^{(1)}) \neq K(a_{\bullet}^{(2)})$ or $K(a_{\bullet}^{(1)}) = K(a_{\bullet}^{(2)}) = 1$; then $G(a_{\bullet})$ is at least alternating.

2 Inequalities

A Schubert problem $a_{\bullet} = (a_1, \dots, a_m)$ is reduced if it is valid and if $a_i + a_j \le n(a_{\bullet}) - 1$ for any i < j. Any Schubert problem is equivalent to a reduced one: If a_{\bullet} is valid, but $a_{m-1} + a_m > n(a_{\bullet}) - 1$, then

$$K(a_1,\ldots,a_m) = K(a_1,\ldots,a_{m-2},a_{m-1}-1,a_m-1),$$

as the intersection (1.2) for a_{\bullet} is equal to an intersection for $(a_1, \ldots, a_{m-2}, a_{m-1}-1, a_m-1)$. Iterating this procedure gives an equivalent reduced Schubert problem.

Schubert [Sch86] observed that if the linear spaces are in a special position, then the Schubert problem decomposes into two smaller problems, which gives a (familiar) recursion for these Kostka numbers. Given a reduced Schubert problem $a_{\bullet} = (a_1, \ldots, a_m)$, set $n := n(a_{\bullet})$. Let L_1, \ldots, L_m be linear subspaces which are in general position in \mathbb{P}^n , except that L_{m-1} and L_m span a hyperplane $\Lambda := \overline{L_{m-1}, L_m}$. If a line ℓ meets both L_{m-1} and L_m , then either it meets $L_{m-1} \cap L_m$ or it lies in their linear span (while also meeting both L_{m-1} and L_m). This implies Schubert's recursion for Kostka numbers

$$K(a_1,\ldots,a_m) = K(a_1,\ldots,a_{m-2},a_{m-1}+a_m) + K(a_1,\ldots,a_{m-2},a_{m-1}-1,a_m-1).$$
(2.1)

Observe that if a_{\bullet} is reduced, then both smaller problems in (2.1) are valid. An induction shows that if a_{\bullet} is valid, then $K(a_{\bullet}) > 0$.

For example, consider K(2, 2, 1, 2, 3). The first tableau in (1.3) has both 4s in its second row (along with its 5s), while the remaining four tableaux have last column consisting of a 4 on top of a 5. If we replace the 5s by 4s in the first tableau and erase the last column in the remaining four tableaux, we obtain



which shows that K(2, 2, 1, 2, 3) = K(2, 2, 1, 5) + K(2, 2, 1, 1, 2). We state our key lemma. A *rearrangement* of a Schubert problem a_1, \ldots, a_m is simply a listing of the integers a_1, \ldots, a_m in some order.

Lemma 3 Every reduced Schubert problem has a rearrangement (a_1, \ldots, a_m) such that either

$$K(a_1, \dots, a_{m-2}, a_{m-1} + a_m) \neq K(a_1, \dots, a_{m-2}, a_{m-1} - 1, a_m - 1),$$
(2.2)

and both are nonzero, or else both are equal to 1.

We use Lemma 3 below to prove Theorem 1, then we devote the rest of the extended abstract to the proof of this Lemma.

Proof of Theorem 1: We use the notation of Subsection 1.2 and argue by induction on m and $n(a_{\bullet})$. Assume that a_{\bullet} is reduced and let Z be the set of those $(L_1, \ldots, L_m) \in X$ such that L_{m-1} and L_m span a hyperplane. Then the geometric arguments given before (2.1) imply that the pullback $\pi^{-1}(Z) \to Z$ decomposes as the union of two Schubert problems, one for $(a_1, \ldots, a_{m-2}, a_{m-1}+a_m)$ and the other for $(a_1, \ldots, a_{m-2}, a_{m-1}-1, a_m-1)$. Therefore, Lemma 3 and our induction hypothesis, together with Vakil's criterion, imply that $G(a_{\bullet})$ is at least alternating.

While an induction shows that the only reduced Schubert problem where the two terms in (2.2) are both 1 is (1, 1, 1, 1), the inequality of Lemma 3 is not easy to prove. This is in part because there are no closed formulas for the numbers $K(a_{\bullet})$, except for the case $a_1 = \cdots = a_{m-1} = 1$ (in which case $K(a_{\bullet})$) is given by the hook-length formula).

2.1 Inequality of Lemma 3 in most cases

We give an injection of sets of Young tableaux to establish Lemma 3 when $a_i \neq a_j$ for some i, j.

Lemma 4 Suppose that $(b_1, \ldots, b_m, \alpha, \beta, \gamma)$ is a reduced Schubert problem where $\alpha \leq \beta \leq \gamma$ with $\alpha < \gamma$. Then

$$K(b_1, \dots, b_m, \alpha, \beta + \gamma) < K(b_1, \dots, b_m, \gamma, \beta + \alpha).$$
(2.3)

To see that this implies Lemma 3 in the case when $a_i \neq a_j$, for some i, j, we apply Schubert's recursion to obtain two different expressions for $K(b_1, \ldots, b_m, \alpha, \beta, \gamma)$,

$$K(b_1, \dots, b_m, \alpha, \beta + \gamma) + K(b_1, \dots, b_m, \alpha, \beta - 1, \gamma - 1)$$

= $K(b_1, \dots, b_m, \gamma, \beta + \alpha) + K(b_1, \dots, b_m, \gamma, \beta - 1, \alpha - 1).$

By the inequality (2.3), at least one of these expressions involves unequal terms. Since all four terms are from valid Schubert problems, none is zero, and this implies Lemma 3 when not all a_i are identical. \Box

Proof of Lemma 4: We establish the inequality (2.3) via a combinatorial injection

$$\iota : \mathcal{K}(b_1,\ldots,b_m,\,\alpha,\beta+\gamma) \, \hookrightarrow \, \mathcal{K}(b_1,\ldots,b_m,\,\gamma,\beta+\alpha) \,,$$

which is not surjective.

Let T be a tableau in $\mathcal{K}(b_1, \ldots, b_m, \alpha, \beta + \gamma)$ and let A be its sub-tableau consisting of the entries $1, \ldots, m$. Then the skew tableau $T \setminus A$ has a bloc of (m+1)'s of length a at the end of its first row, and its second row consists of a bloc of (m+1)'s of length $\alpha - a$, followed by a bloc of (m+2)'s of length $\beta + \gamma$. Form the tableau $\iota(T)$ by changing the last row of $T \setminus A$ to a bloc of (m+1)'s of length $\gamma - a$ followed by a bloc of (m+2)'s of length $\beta + \alpha$. Since $a \leq \alpha < \gamma$, this map is well-defined.



To see that ι is not surjective, set $b_{\bullet} := (b_1, \ldots, b_m, \gamma - \alpha - 1, \beta - 1)$, which is a valid Schubert problem. Hence $K(b_{\bullet}) \neq 0$ and $\mathcal{K}(b_{\bullet}) \neq \emptyset$. For any $T \in \mathcal{K}(b_{\bullet})$, we may add $\alpha + 1$ columns to its end consisting of a m+1 above a m+2 to obtain a tableau $T' \in \mathcal{K}(b_1, \ldots, b_m, \gamma, \beta + \alpha)$. As T' has more than α (m+1)s in its first row, it cannot be in the image of the injection ι , which completes the proof of the lemma. \Box

3 Kostka numbers as integrals

Kostka numbers of two-rowed tableaux appear as the coefficients in the decomposition of the tensor products of irreducible $\mathfrak{sl}_2\mathbb{C}$ -modules. Let V_a be the irreducible module of $\mathfrak{sl}_2\mathbb{C}$ with highest weight a. Given a Schubert problem $a_{\bullet} = (a_1, \ldots, a_m)$, the Kostka number $K(a_{\bullet})$ is the multiplicity of the trivial $\mathfrak{sl}_2\mathbb{C}$ -module V_0 in the tensor product $V_{a_1} \otimes \cdots \otimes V_{a_m}$.

The representation ring R of $\mathfrak{sl}_2\mathbb{C}$ is the free abelian group on the isomorphism classes $[V_a]$ of irreducible modules, modulo the relations $[V_a] + [V_b] - [V_a \oplus V_b]$. Setting $[V_a] \cdot [V_b] := [V_a \otimes V_b]$ makes R into a ring. Writing $\mathbf{e}_a := [V_a]$, multiplication by \mathbf{e}_a is a linear operator M_a on R,

$$M_a(\mathbf{e}_b) := \mathbf{e}_a \cdot \mathbf{e}_b = \mathbf{e}_{b+a} + \mathbf{e}_{b+a-2} + \dots + \mathbf{e}_{|b-a|}, \qquad (3.1)$$

by the Clebsch-Gordan formula. In the basis $\{e_a\}$, the operator M_a is represented by an infinite Toeplitz matrix with entries 0 and 1 given by the formula (3.1). For instance, we have

Since R is a commutative ring, the operators $\{M_a \mid a \ge 0\}$ commute. They have an easily described system of joint eigenvectors and eigenvalues, which may be verified using the identity $2\sin \alpha \cdot \sin \beta = \cos(\alpha - \beta) - \cos(\alpha + \beta)$, and noting that the resulting sums are telescoping.

Proposition 5 For each $0 \le \theta \le \pi$ and integer $a \ge 0$, set

$$\mathbf{v}(\theta) := (\sin \theta, \sin 2\theta, \dots, \sin(j+1)\theta, \dots)^{\top} = \sum_{j} \sin(j+1)\theta \cdot \mathbf{e}_{j},$$
$$\lambda_{a}(\theta) := \frac{\sin(a+1)\theta}{\sin \theta}.$$

Then $\mathbf{v}(\theta)$ is an eigenvector of M_a with eigenvalue $\lambda_a(\theta)$.

These eigenvectors form a complete system of eigenvectors.

Proposition 6 For any $a = 0, 1, 2, \ldots$, we have

$$\mathbf{e}_j \;=\; \frac{2}{\pi} \int_0^\pi \sin{(j+1)\theta} \, \mathbf{v}(\theta) \, d\theta \,.$$

It follows that for any $a \ge 1$, we have

$$M_a(\mathbf{e}_0) = \frac{2}{\pi} \int_0^{\pi} \lambda_a(\theta) \sin \theta \, \mathbf{v}(\theta) \, d\theta \, .$$

A consequence of Proposition 6 is an integral formula for the Kostka numbers.

Theorem 7 Let $a_{\bullet} = (a_1, \ldots, a_m)$ be any valid Schubert problem. Then

$$K(a_{\bullet}) = \frac{2}{\pi} \int_0^{\pi} \left(\prod_{i=1}^m \lambda_{a_i}(\theta) \right) \sin^2 \theta \, d\theta \,.$$
(3.2)

3.1 Inequality of Lemma 3 in the remaining case

We complete the proof of Theorem 1 by establishing the inequality in Lemma 3 for those Schubert problems not covered in Lemma 4. For these, every condition is the same, so $a_{\bullet} = (a, a, \dots, a) =: a^m$.

If a = 1, then we may use the hook-length formula. The Kostka number $K(1^n, b)$, where n + b = 2c is even, is the number of Young tableaux of shape (c, c - b), which is

$$K(1^n, b) := \frac{n!(b+1)}{(c-b)!(c+1)!}$$

When m = 2n is even, the inequality of Lemma 3 is that $K(1^{2n-2}) \neq K(1^{2n-2}, 2)$. We compute

$$K(1^{2n-2}) = \frac{(2n-2)!(1)}{n!(n+1)!}$$
 and $K(1^{2n-2},2) = \frac{(2n-2)!(3)}{(n-2)!(n+1)!}$

and so

$$K(1^{2n-2},2)/K(1^{2n-2}) = 3\frac{n!(n+1)!}{(n-2)!(n+1)!} = 3\frac{n-1}{n+1} \neq 1$$

when n > 2, but when n = 2 both Kostka numbers are 1, which proves the inequality of Lemma 3 when each $a_i = 1$.

We now suppose that $a_{\bullet} = (a^{m+2})$ where a > 1 and $m \cdot a$ is even. Table 1 shows that when a = 2 and $m \le 16$, the inequality of Lemma 3 holds. However, the sign of $K(2^m, 4) - K(2^m, 1, 1)$ changes at

m	$K(2^m, 4)$	$K(2^m, 1, 1)$	Difference
0	0	1	-1
1	0	1	-1
2	1	2	-1
3	2	4	-2
4	6	9	-3
5	15	21	-6
6	40	51	-11
7	105	127	-22
8	280	323	-43
9	750	835	-85
10	2025	2188	-163
11	5500	5798	-298
12	15026	15511	-485
13	41262	41835	-573
14	113841	113634	207
15	315420	310572	4848
16	877320	853467	23853

Tab. 1: The inequality (2.2) for the case $a_{\bullet} = (2^{m+2})$

m = 14. In fact, we have the following lemma.

Lemma 8 For all $m \ge 1$, we have $K(2^m, 4) \ne K(2^m, 1, 1)$. If m < 14 then $K(2^m, 4) < K(2^m, 1, 1)$ and if $m \ge 14$, then $K(2^m, 4) > K(2^m, 1, 1)$.

The remaining cases $a \ge 3$ have a more uniform behavior.

Lemma 9 For $a \ge 3$ and for all $m \ge 2$ we have

$$K(a^m, 2a) < K(a^m, (a-1)^2).$$
 (3.3)

We omit the proof of Lemma 9 from this extended abstract, but include a proof of Lemma 8.

3.2 Proof of Lemma 8

By the computations in Table 1, we only need to show that $K(2^m, 4) - K(2^m, 1, 1) > 0$ for $m \ge 14$. Using (3.2), we have

$$\begin{split} K(2^m,4) - K(2^m,1,1) &= \frac{2}{\pi} \int_0^\pi \lambda_2(\theta)^m \left(\lambda_4(\theta) - \lambda_1(\theta)^2\right) \sin^2\theta \ d\theta \\ &= \frac{2}{\pi} \int_0^\pi \lambda_2(\theta)^m \left(\sin 5\theta \, \sin \theta - \, \sin^2 2\theta\right) \ d\theta \\ &= \frac{2}{\pi} \int_0^\pi \lambda_2(\theta)^m \frac{1}{2} \left(2\cos 4\theta - \cos 6\theta - 1\right) \ d\theta \\ &= \frac{1}{\pi} \int_0^\pi \lambda_2(\theta)^m \left(2\cos 4\theta - \cos 6\theta - 1\right) \ d\theta \ . \end{split}$$

The integrand $f(\theta)$ of the last integral is symmetric about $\theta = \pi/2$ in that $f(\theta) = f(\pi - \theta)$. Thus, it suffices to prove that if $m \ge 14$, then

$$\int_{0}^{\frac{\pi}{2}} \lambda_{2}(\theta)^{m} (2\cos 4\theta - \cos 6\theta - 1) \, d\theta > 0 \,.$$
(3.4)

To simplify our notation, set

$$F(\theta) := 2\cos 4\theta - \cos 6\theta - 1$$
 and $\lambda(\theta) := \lambda_2(\theta) = 1 + 2\cos 2\theta$.

We display these functions and the integrand in (3.4) for m = 8 in Figure 2.

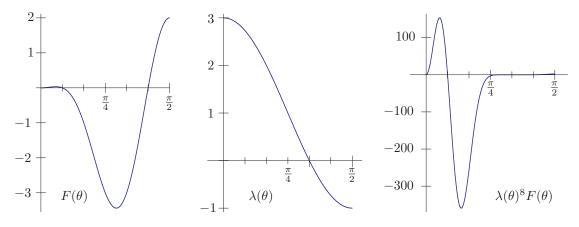


Fig. 2: The functions $F(\theta)$, $\lambda(\theta)$, and $\lambda(\theta)^8 F(\theta)$.

In the interval $[0, \frac{\pi}{2}]$, the zeroes of F occur at 0, $\frac{\pi}{12}$, and $\frac{5\pi}{12}$, and λ vanishes at $\frac{\pi}{3}$. Both functions are positive on $[0, \frac{\pi}{12}]$, and so

$$\int_{0}^{\frac{\pi}{2}} \lambda^{m}(\theta) F(\theta) \, d\theta \geq \int_{0}^{\frac{\pi}{12}} \lambda^{m}(\theta) F(\theta) \, d\theta - \int_{\frac{\pi}{12}}^{\frac{\pi}{2}} \left| \lambda^{m}(\theta) F(\theta) \right| d\theta \,. \tag{3.5}$$

We show the positivity of (3.4) by showing that the right hand side of (3.5) is positive for $m \ge 14$. This is equivalent to the following inequality,

$$\int_{0}^{\frac{\pi}{12}} \lambda^{m}(\theta) F(\theta) \, d\theta > \int_{\frac{\pi}{12}}^{\frac{\pi}{3}} \left| \lambda^{m}(\theta) F(\theta) \right| d\theta + \int_{\frac{\pi}{3}}^{\frac{\pi}{2}} \left| \lambda^{m}(\theta) F(\theta) \right| d\theta.$$
(3.6)

The function $\lambda(\theta)$ is monotone decreasing in the interval $[0, \frac{\pi}{2}]$, and it vanishes at $\frac{\pi}{3}$, so the maximum of $|\lambda(\theta)|$ on this interval is $|\lambda(\frac{\pi}{2})| = 1$. Also, $|F(\theta)| \le 4$ for all $\theta \in [0, \frac{\pi}{2}]$. Thus we estimate the last integral in (3.6),

$$\int_{\frac{\pi}{3}}^{\frac{\pi}{2}} \left| \lambda^m(\theta) F(\theta) \right| d\theta \leq \int_{\frac{\pi}{3}}^{\frac{\pi}{2}} 1 \cdot 4 \, d\theta = \frac{2\pi}{3} \, d\theta$$

It is therefore enough to show that

$$\int_{0}^{\frac{\pi}{12}} \lambda^{m}(\theta) F(\theta) \, d\theta > \int_{\frac{\pi}{12}}^{\frac{\pi}{3}} \left| \lambda^{m}(\theta) F(\theta) \right| d\theta + \frac{2\pi}{3} \,, \tag{3.7}$$

for $m \ge 14$. We establish (3.7) by induction on $m \ge 14$. This inequality holds for m = 14, as the left hand side is

$$\int_{0}^{\frac{\pi}{12}} \lambda^{14}(\theta) F(\theta) \, d\theta = \frac{69}{4}\pi + \frac{26374}{7}\sqrt{3} + \frac{1679543168}{255255} \approx 13159.9$$

whereas the right hand side is

$$\int_{\frac{\pi}{12}}^{\frac{\pi}{3}} \left| \lambda^{14}(\theta) F(\theta) \right| d\theta + \frac{2\pi}{3} = \frac{63052312}{17017} \sqrt{3} - \frac{613}{12} \pi + \frac{1679543168}{255255} \approx 12837.1$$

Suppose now that the inequality (3.7) holds for some $m \ge 14$.

As $\lambda(\frac{\pi}{12}) = 1 + \sqrt{3}$ and λ is decreasing in $[0, \frac{\pi}{2}]$, we have $\lambda(\theta) \ge 1 + \sqrt{3}$ for $\theta \in [0, \frac{\pi}{12}]$. Thus

$$\int_{0}^{\frac{\pi}{12}} \lambda^{m+1}(\theta) F(\theta) \, d\theta \geq \int_{0}^{\frac{\pi}{12}} \left(1 + \sqrt{3}\right) \cdot \lambda^{m}(\theta) F(\theta) \, d\theta.$$
(3.8)

Similarly, when $\theta \in [\frac{\pi}{12}, \frac{\pi}{2}]$ we have that $|\lambda(\theta)| \le 1 + \sqrt{3}$, as $\lambda(\frac{\pi}{2}) = -1$. Therefore,

$$\int_{\frac{\pi}{12}}^{\frac{\pi}{3}} \left| \lambda^{m+1}(\theta) F(\theta) \right| d\theta \leq \int_{\frac{\pi}{12}}^{\frac{\pi}{3}} \left(1 + \sqrt{3} \right) \cdot \left| \lambda^m(\theta) F(\theta) \right| d\theta.$$
(3.9)

From the induction hypothesis and equations (3.8), and (3.9), we obtain

$$\int_{0}^{\frac{\pi}{12}} \lambda^{m+1}(\theta) F(\theta) d\theta \geq \int_{0}^{\frac{\pi}{12}} \left(1 + \sqrt{3}\right) \cdot \left|\lambda^{m}(\theta) F(\theta)\right| d\theta \\
> \int_{\frac{\pi}{12}}^{\frac{\pi}{3}} \left(1 + \sqrt{3}\right) \cdot \left|\lambda^{m}(\theta) F(\theta)\right| d\theta + (1 + \sqrt{3}) \cdot \frac{2\pi}{3} \\
> \int_{\frac{\pi}{12}}^{\frac{\pi}{3}} \left|\lambda^{m+1}(\theta) F(\theta)\right| d\theta + \frac{2\pi}{3}.$$
(3.10)

This completes the proof of Lemma 8.

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